

As the largest provider of non-depository IRAs, Equity Institutional understands the complexity that retirement plans add to your daily operations. Our Directed Trustee Services specialize in legal, regulatory, and administrative responsibilities.

You Are in Charge

We meet with you up front to design the customized solutions your firm needs. We'll manage the behind-the-scenes administrative work, allowing you to focus on meeting the retirement and financial needs of your clients.

We Provide

- Wide variety of investments in accounts, including non-traditional assets
- Tax reporting and withholding remittance
- IRS-approved documents and compliance services
- Recordkeeping
- Safekeeping of assets
- Making distributions to beneficiaries
- Processing of Exempt Organization Business Income Tax Reporting (990-T)
- Reporting of Unrelated Business Taxable Income when generated by certain Alternative Investments
- QP support (optional 5500 or loan package preparation)
- Obtaining Fair Market Valuations from Investment Sponsors on your behalf
- Issuance of regular account statements
- Dedicated Relationship Managers and a toll-free customer service line



You Retain

- The relationship with your client
- Assisting your client in choosing appropriate investments for his/her retirement plan
- Control of your client's assets

Our Service Doesn't Stop with Your Clients

As a financial service firm, you need quick, easy and secure access to information which may affect your customers and business. Equity Institutional offers services to help you run a seamless, cost-effective and efficient back-office. Rely on us when you need:

- Real-time online monitoring and aggregation of your accounts through our Account Access system
- The latest rules and regulations information from our Compliance and trust services teams
- Educational support for your advisor channel's professional development
- Marketing support, including client communications that can be customized with your company's brand



Why Choose Us?

A relationship with Equity Institutional allows you to take advantage of directed trustee services, passive custodial services, and alternative investments custody – all in one convenient shop.

Equity Trust Company and its affiliates (including Equity Institutional), has its corporate headquarters in Ohio and operations in Colorado, South Dakota and Delaware. The company is at the forefront of the self-directed retirement plan industry and specializes in the custody of alternative assets in self-directed IRAs, Coverdell Education Accounts, Health Savings Accounts and qualified business retirement plans.

Four Decades of Experience Offers Peace of Mind

Since 1974, in addition to traditional investments, we've been making it easier for investment professionals to diversify client portfolios with non-traditional investments like:

- Precious Metals
- Secured and Unsecured Notes
- Real Estate
- Oil and Gas Interests
- Private Stock
- Non-Traded REITs
- Private LP's and LLC's

Experience the Equity Advantage

By outsourcing trust administration to a firm that provides directed trustee or custodial services, and alternative investments custody, you can focus your business on what you do best — serving the needs of your clients and generating new business. Thousands of financial professionals from across the United States rely on us every day to provide seamless administrative support to help keep their clients satisfied. **Let's talk about how we can help you get started today!**

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